

# Account Transfer (Re-Registration) Form

EFFECTIVE OCTOBER 2023

**IMPORTANT: Transferor/Seller and Transferee/Buyer Sections must be submitted simultaneously.**

To be completed by individual transferring/selling Ares Private Markets Fund ("APMF") shares. Throughout this form, references to "Prospectus" mean the prospectus in effect and as amended and supplemented through the date this form is executed.



Ares Private Markets Fund

## 1. Transferor/Seller (Indicate the exact name of the transferor/seller and include any custodial information)

Class A Shares       Class D Shares       Class I Shares

Transferor/Seller \_\_\_\_\_ Co-Transferor/Seller \_\_\_\_\_

Transferor/Seller Social Security/Taxpayer ID # \_\_\_\_\_ Co-Transferor/Seller Social Security/Taxpayer ID # \_\_\_\_\_

Ares Account # \_\_\_\_\_ Custodian/Trustee Tax ID #, if applicable \_\_\_\_\_

Brokerage Account Number, if applicable \_\_\_\_\_ Home Telephone \_\_\_\_\_ Email Address \_\_\_\_\_

Street Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

If transferring out of a trust account please check appropriate box:       Grantor Trust       Non-Grantor Trust

## 2. Transferor/Seller Information (check all that apply)

Reason for Transfer:  Re-registration (Change of name, individual to trust, etc. but otherwise no change to the ultimate beneficial owner of the shares)       Death (Include copy of Death Certificate) \_\_\_\_\_ Date of Death \_\_\_\_\_

Divorce (Include copy of Divorce Decree)       Gift       Custodian Change

Other (Please specify): \_\_\_\_\_

Secondary Market Transfer: \$ \_\_\_\_\_

Transfer Quantity: Number/Percentage of shares to be transferred/sold: \_\_\_\_\_

## 3. Transferor/Seller Signatures

The Transferor/Seller hereby certifies and represents that Transferor/Seller has possession of valid title and all requisite power to assign and transfer such shares and that the assignment and transfer is in accordance with applicable federal and state laws and regulations and further certifies, under penalty of law, that the reason for transfer provided is correct. By signing below, the Transferor/Seller hereby acknowledges the disclosure in Section 12 of this form.

Signature of Transferor/Seller or Trustee \_\_\_\_\_ Date \_\_\_\_\_

Signature of Co-Transferor/Seller or Trustee, if applicable \_\_\_\_\_ Date \_\_\_\_\_

Signature of Custodian \_\_\_\_\_

Guarantor: Affix Medallion Signature Guarantee here.

A Medallion Signature Guarantee is required for transferor/seller/custodian signature(s). A notary public is not an acceptable guarantor.

# Account Transfer (Re-Registration) Form

EFFECTIVE OCTOBER 2023

**IMPORTANT: Transferor/Seller and Transferee/Buyer Sections must be submitted simultaneously.**

To be completed by individual transferring/selling Ares Private Markets Fund ("APMF") shares. Throughout this form, references to "Prospectus" mean the prospectus in effect and as amended and supplemented through the date this form is executed.



Ares Private Markets Fund

## 4. Transferee/Buyer Information (All authorized owners must sign in Section 10)

### A. Type of Ownership

Does your account have a custodian or are your shares held in a brokerage/advisory account?

Yes  No

If yes, please complete the section below and deliver a completed account transfer form to your custodian.

\_\_\_\_\_  
Name of Custodian

\_\_\_\_\_  
Account #

Please select one type of ownership below

#### Non-Qualified

- Individual Ownership
  - Transfer on Death  
Fill out Transfer on Death Form to effect designation.  
(Available through your financial professional)
- Tenants in Common
  - Transfer on Death  
Fill out Transfer on Death Form to effect designation.  
(Available through your financial professional)
- Joint Tenants with Rights of Survivorship
- Community Property
- Uniform Gift to Minors Act
- Plan  
Additional documentation required in Section 4.
- Trust  
Additional documentation required in Section 4.
- Corporation/Partnership  
Additional documentation required in Section 4.
- Other (Specify)

#### Qualified

- Traditional IRA
- Roth IRA
- Decedent IRA
- \_\_\_\_\_  
Name of Deceased
- Simplified Employee Pension/Trust (SEP)
- Other (Specify)

# Account Transfer (Re-Registration) Form

EFFECTIVE OCTOBER 2023

**IMPORTANT: Transferor/Seller and Transferee/Buyer Sections must be submitted simultaneously.**  
To be completed by individual transferring/selling Ares Private Markets Fund ("APMF") shares. Throughout this form, references to "Prospectus" mean the prospectus in effect and as amended and supplemented through the date this form is executed.



Ares Private Markets Fund

## B. Investor Information

(Individual Investor/Trustee/Executor/Authorized Signatory information)

First Name Last Name

Social Security/Taxpayer ID # Date of Birth (MM/DD/YYYY)

Telephone # Email Address

Residential Address (no P.O. Box)

Street Address City State Zip

Mailing Address (if different from above)

Street Address City State Zip

Please Indicate Citizenship Status

U.S. Citizen  Resident Alien  Non-Resident Alien

## C. Co-Investor Information

(Individual Co-Investor/Co-Trustee/Co-Authorized Signatory Information, if applicable)

First Name Last Name

Social Security/Taxpayer ID # Date of Birth (MM/DD/YYYY)

Telephone #

Residential Address (no P.O. Box)

Street Address City State Zip

Mailing Address (if different from above)

Street Address City State Zip

Please Indicate Citizenship Status

U.S. Citizen  Resident Alien  Non-Resident Alien

## D. Entity Information – Retirement Plan/Trust/Corporation/Partnership/Other

(Trustee(s) and/or Authorized Signatory(s) information MUST be provided in Sections 4B and 4C)

Entity Name Entity Tax ID # Date of Trust

Entity Type (Select one – required)

- |   |  |
|---|--|
| <input type="checkbox"/> Retirement Plan  | <input type="checkbox"/> LLC (Plan documentation required)         |
| <input type="checkbox"/> Taxable Trust (First and last pages of the trust document required)    | <input type="checkbox"/> Partnership (Plan documentation required) |
| <input type="checkbox"/> Tax-exempt Trust (First and last pages of the trust document required) | <input type="checkbox"/> Estate (Letter of Testamentary required)  |
| <input type="checkbox"/> S-Corp (Corporate Resolution required)                                 | <input type="checkbox"/> Other (Specify)                           |
| <input type="checkbox"/> C-Corp (Corporate Resolution required)                                 |  |

# Account Transfer (Re-Registration) Form

EFFECTIVE OCTOBER 2023

**IMPORTANT: Transferor/Seller and Transferee/Buyer Sections must be submitted simultaneously.**

To be completed by individual transferring/selling Ares Private Markets Fund ("APMF") shares. Throughout this form, references to "Prospectus" mean the prospectus in effect and as amended and supplemented through the date this form is executed.



Ares Private Markets Fund

## 5. Electronic Delivery Form

Instead of receiving paper copies of the Prospectus, prospectus supplements, annual reports, and other shareholder communications and reports, you may elect to receive electronic delivery of shareholder communications and other documents from APMF. If you would like to consent to electronic delivery, including pursuant to email, please initial below for this election.

APMF encourages you to reduce printing and mailing costs and to conserve natural resources by electing to receive electronic delivery of shareholder communications and statement notifications. By consenting below to electronically receive shareholder communications, including your account-specific information, you authorize APMF to either (i) email shareholder communications to you directly or (ii) make them available on our website and notify you by email when and where such documents are available.

You will not receive paper copies of these electronic materials unless specifically requested, the delivery of electronic materials is prohibited or APMF, in its sole discretion, elects to send paper copies of the materials.

By consenting to electronic access, you will be responsible for certain costs, such as your customary internet service provider charges and may be required to download software in connection with access to these materials. You understand this electronic delivery program may be changed or discontinued and that the terms of this agreement may be amended at any time. You understand that there are possible risks associated with electronic delivery such as emails not transmitting, links failing to function properly and system failure of online service providers, and that there is no warranty or guarantee given concerning the transmissions of email, the availability of the website, or information on it, other than as required by law. You agree to promptly notify APMF of any change in your email address. You agree that APMF's sending of the notice or email will constitute good and effective delivery of the information to you, regardless of whether you actually access the website containing the information or open the email and/or attachments. The documents and other information delivered electronically may be formatted in Adobe Acrobat's portable document format ("PDF"), hypertext mark-up language or other file formats APMF deems appropriate. In order to view or print documents provided in PDF, you will have to obtain the Adobe Acrobat Reader, which is available free of charge at Adobe's website (located at [www.adobe.com](http://www.adobe.com)), and install it on your computer. You are responsible for having any necessary hardware, software or other technology to access the information sent electronically, including a printer or other device to download and save any information that you may wish to retain.

Initials \_\_\_\_\_ I consent to electronic delivery.

\_\_\_\_\_  
Email Address

If blank, the email address provided in Section 4 will be used.

## 6. Transfer Information

### Transfer Quantity

Number/Percentage of shares to be transferred/bought: \_\_\_\_\_ Purchase Price (to the extent applicable): \_\_\_\_\_

Do you already own APMF shares?  Yes  No

## 7. Distributions

Only complete the following information if you do not wish to enroll in the Distribution Reinvestment Plan.

### Non-Custodial Ownership

I prefer that my distribution be deposited directly into the account listed below.

I prefer that my distribution be paid by check and sent to the address in Section 4.

### Custodial Ownership

I prefer that my distribution be sent to my Custodian for deposit into my Custodial account cited in Transferee/Buyer Section 4.

If you wish to enroll in the Distribution Reinvestment Plan, check this box:

If you wish to enroll in the Distribution Reinvestment Plan, please complete the information below. If you do not make an election, then your distributions will be paid in cash to your custodian OR address of record.

I authorize APMF or its agent to deposit my distribution into my checking or savings account. This authority will remain in force until I notify APMF in writing to cancel it. If APMF deposits funds erroneously into my account, it is authorized to debit my account for an amount not to exceed the amount of the erroneous deposit.

\_\_\_\_\_  
Name of Financial Institution

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
ZIP

\_\_\_\_\_  
Name(s) on Account

\_\_\_\_\_  
ABA Number/Bank Account Number

\_\_\_\_\_  
Account Number

Checking (Attach a voided check.)  Savings (Attach a voided deposit slip.)  Brokerage

# Account Transfer (Re-Registration) Form

EFFECTIVE OCTOBER 2023

**IMPORTANT: Transferor/Seller and Transferee/Buyer Sections must be submitted simultaneously.**

To be completed by individual transferring/selling Ares Private Markets Fund ("APMF") shares. Throughout this form, references to "Prospectus" mean the prospectus in effect and as amended and supplemented through the date this form is executed.



Ares Private Markets Fund

## 8. Broker Dealer/Broker-Dealer Representative (Financial Advisor) Information (Required Information)

The Financial Advisor must sign below to complete the order.

Name of Financial Advisor (FA)			Broker-Dealer Name (B/D)			Telephone Number					
Mailing Address						Home Office Mailing Address					
City		State		ZIP		City		State		ZIP	
B/D Rep #			CRD#			FA Telephone Number			FA Email Address		
FA Signature						B/D Signature (if applicable)					

Operations Contact (not required)

The Financial Advisor hereby warrants that he/she is duly licensed to sell shares in the state designated as the transferee's/buyer's legal residence. Please note that unless previously agreed to in writing by APMF, all sales of securities must be made through a Broker-Dealer, including when a registered investment adviser that is a dually registered Broker-Dealer has introduced the sale. In all cases, Section 8 must be completed.

The undersigned confirm(s), which confirmation is made on behalf of the Broker-Dealer with which Financial Advisor is associated, with respect to sales of securities made through a Broker-Dealer that they (i) have reasonable grounds to believe that the information and representations concerning the transferee/buyer identified herein are true, correct and complete in all respects; (ii) have discussed such transferee's/buyer's prospective purchase of shares with such transferee/buyer; (iii) have advised such transferee/buyer of all pertinent facts with regard to the lack of liquidity and marketability of the shares; (iv) have delivered or made available a current Prospectus and related supplements, if any, to such transferee/buyer; (v) have reasonable grounds to believe that the transferee/buyer is purchasing these shares for his or her own account; (vi) have reasonable grounds to believe that the purchase of shares is a suitable investment for such transferee/buyer, that such transferee/buyer meets the suitability standards applicable to such transferee/buyer set forth in the Prospectus and related supplements, if any, and that such transferee/buyer is in a financial position to enable such transferee/buyer to realize the benefits of such an investment and to suffer any loss that may occur with respect thereto; and (vii) have advised such transferee/buyer that the shares have not been registered and are not expected to be registered under the laws of any country or jurisdiction outside of the United States except as otherwise described in the Prospectus. The undersigned Broker-Dealer and Financial Advisor understand and agree that they shall be solely responsible for determining if any recommendation to invest in shares is in the best interest of, or suitable for, the transferee/buyer, as applicable. The undersigned Broker-Dealer and Financial Advisor listed in Section 8 further represent and certify that, in connection with this subscription for shares, they have complied with and have followed all applicable policies and procedures of their firm relating to, and performed functions required by, federal and state securities laws, rules promulgated under the Securities Exchange Act of 1934, as amended (the "Exchange Act"), including, but not limited to, Rule 15l-1 and Financial Industry Regulatory Authority, Inc. ("FINRA") rules and regulations including, but not limited to, Know Your Customer, Suitability and, any anti-money laundering requirements under the Bank Secrecy Act and its implementing regulations (e.g., Customer Identification Program, AML Rules) as required by its relationship with the transferee(s)/buyer(s) identified on this document. The undersigned Broker-Dealer and Financial Advisor acknowledge that the transferee/buyer who purchases shares through the Broker-Dealer and Financial Advisor firm are "customers" of Broker-Dealer or Financial Advisor's firm as applicable and not of Ares Wealth Management Solutions, LLC ("AWMS"), a broker-dealer affiliate of Ares Capital Management II LLC (the "Investment Manager"). The Broker-Dealer hereby represents that it has adopted, implemented, and will maintain a written anti-money laundering compliance program ("AML Program") including, without limitation, anti-money laundering policies and procedures relating to the Customer Identification Program and the AML Rules. In addition, the Broker-Dealer agrees that it has policies and procedures in place to check the names of new customers against government watch lists, including the U.S. Treasury Department Office of Foreign Asset Control list of Specially Designated Nationals and Blocked Persons. The Broker-Dealer further understands that, while AWMS is required to establish and implement its own AML Program in accordance with the AML Rules, the Broker-Dealer is not relying on AWMS's AML Program for any purposes. The Broker-Dealer agrees to notify APMF immediately if the firm is subject to a Securities and Exchange Commission ("SEC") or FINRA disclosure event or a fine from the SEC related to its AML Program.

The Broker-Dealer and Financial Advisor agree to comply with all applicable rules, regulations and guidelines issued by the SEC and FINRA, as well as any other applicable laws or regulations pertaining to the delivery of the Prospectus any other APMF documentation and signature of this account transfer form, including any electronic delivery and signature requirements that may apply. The Broker-Dealer acknowledges that its firm is acting as an agent of APMF only with respect to the delivery of the Prospectus and any other APMF documentation electronically, the administration of the subscription process and obtainment of electronic signatures and only to the extent its firm's actions are in compliance with this account transfer form. The Broker-Dealer will comply, as applicable, with the Electronic Signatures in Global and National Commerce Act and the Uniform Electronic Transactions Act, to the extent applicable, as adopted in each applicable jurisdiction and any other applicable laws including but not limited to applicable SEC guidance regarding the electronic delivery of materials under the federal securities laws.

THIS ACCOUNT TRANSFER FORM AND ALL RIGHTS HEREUNDER SHALL BE GOVERNED BY, AND INTERPRETED IN ACCORDANCE WITH, THE LAWS OF THE STATE OF DELAWARE.

## 9. Investor Suitability

### A. Individuals

The following relates to an investor's status as an "accredited investor." If you are unsure in any respect as to your status, please contact your financial intermediary.

The subscriber is:  (write corresponding number(s) in box provided)

**A potential investor qualifies as an "accredited investor" within the meaning of Regulation D under the Securities Act if:**

# Account Transfer (Re-Registration) Form

EFFECTIVE OCTOBER 2023

**IMPORTANT: Transferor/Seller and Transferee/Buyer Sections must be submitted simultaneously.**

To be completed by individual transferring/selling Ares Private Markets Fund ("APMF") shares. Throughout this form, references to "Prospectus" mean the prospectus in effect and as amended and supplemented through the date this form is executed.



Ares Private Markets Fund

1. The investor is a natural person who had an income in excess of \$200,000 in each of the two most recent years and has a reasonable expectation of reaching the same income level in the current year.
2. The investor is a natural person who, with his or her spouse or spousal equivalent, had a joint income in excess of \$300,000 in each of the two most recent years and has a reasonable expectation of reaching the same income level in the current year. Note: For purposes of calculating joint net worth, joint net worth can be the aggregate net worth of the investor and spouse or spousal equivalent; assets need not be held jointly to be included in the calculation. Reliance on this joint net worth standard does not require that the shares to be purchased jointly.
3. The investor is a natural person who has a net worth (or joint net worth with his or her spouse or spousal equivalent) in excess of \$1,000,000, excluding the value of the investor's primary residence as well as the related amount of indebtedness secured by such primary residence (up to its estimated fair market value at the time of the sale of the shares) (except that if the amount of such indebtedness outstanding at the time of the sale of the shares exceeds the amount outstanding sixty (60) days before such time, other than as a result of the acquisition of the primary residence, the amount of such excess shall be included as a liability, and indebtedness that is secured by the investor's primary residence in excess of the estimated fair market value of the primary residence at the time of the sale of the shares shall be included as a liability).
4. The investor is a natural person holding in good standing one or more professional certifications or designations or credentials from an accredited educational institution that the SEC has designated as qualifying an individual for accredited investor status (currently, a Series 7, Series 82 or Series 65 license).
5. The investor is a "family client," as defined in Rule 202(a)(11)(G)-1 under the Investment Advisers Act of 1940, as amended (the "Advisers Act"), of a family office meeting the requirements in paragraph B.(17) below and whose prospective investment in APMF is directed by such family office pursuant to paragraph B.(17)(iii) below.

## Qualified Client Status (must be completed)

The following relates to an investor's status as a "qualified client." If you are unsure in any respect as to your status, please contact your financial intermediary.

The subscriber is:  (write corresponding number(s) in box provided)

## A potential investor qualifies as a "qualified client" within the meaning of Rule 205-3 under the Advisers Act if:

1. The investor is a natural person who is making an investment in the shares of at least \$1,100,000 or after the purchase of shares has at least \$1,100,000 under the management of the Investment Manager.
2. The investor is a natural person who the Investment Manager reasonably believes, immediately prior to purchasing the shares, has a net worth (together, in the case of a natural person, with assets held jointly with a spouse) of more than \$2,200,000.
3. The investor is a qualified purchaser as defined in Section 2(a)(51)(A) of the Investment Company Act of 1940, as amended (the "1940 Act"), at the time of the investment into APMF.
4. The investor is a natural person who immediately prior to purchasing the shares, is: (1) an executive officer, director, trustee, general partner, advisory board member or person serving in a similar capacity, of the Investment Manager; or (2) an employee of the Investment Manager who, in connection with his or her regular functions or duties, participates in investment activities of the Investment Manager and has done so for at least 12 months.

## B. Entities

### Accredited Investor Status (must be completed)

The following relates to an investor's status as an "accredited investor." If you are unsure in any respect as to your status, please contact your financial intermediary.

The subscriber is:  (write corresponding number(s) in box provided)

## A potential investor qualifies as an "accredited investor" within the meaning of Regulation D under the Securities Act if:

1. The investor is a revocable grantor trust (such as a living trust) in which each grantor (a) has the power to revoke the trust at any time and regain title to the trust assets, (b) may amend the trust at any time and (c) is a natural person who is an accredited investor.
2. The investor is a trust (e.g., a personal trust) that has total assets in excess of \$5,000,000, was not formed for the specific purpose of acquiring the shares, and whose purchase is directed by a sophisticated person who has such knowledge and experience in financial and business matters that such person is capable of evaluating the merits and risks of investing in APMF, and is not affiliated with the Investment Manager.
3. The investor (i) is either a corporation, a partnership, a limited liability company, an organization described in Section 501(c)(3) of the U.S. Internal Revenue Code, or a Massachusetts or similar business trust, (ii) has not been formed for the specific purpose of acquiring the shares, and (iii) has total assets in excess of \$5,000,000.
4. The investor is a plan established and maintained by a state, its political subdivisions, or any agency or instrumentality of a state or its political subdivisions, for the benefit of its employees, and such plan has total assets in excess of \$5,000,000.
5. The investor is an employee benefit plan within the meaning of Title I of ERISA, and (i) the investment decision is made by a plan fiduciary, as defined in Section 3(21) of the U.S. Employee Retirement Income Security Act of 1974, as amended ("ERISA"), which is either a bank, savings and loan association, insurance company, or registered investment adviser, (ii) the employee benefit plan has total assets in excess of \$5,000,000 or (iii) if a self-directed plan, the investment decisions are made solely by persons that are accredited investors.
6. The investor is a bank as defined in Section 3(a)(2) of the Securities Act, or a savings and loan association or other institution as defined in Section 3(a)(5)(A) of the Securities Act, whether acting in its individual or fiduciary capacity.
7. The investor is an insurance company as defined in Section 2(a)(13) of the Securities Act;
8. The investor is an investment company registered under the 1940 Act or a business development company as defined in Section 2(a)(48) of the 1940 Act.
9. The investor is a Small Business Investment Company licensed by the U.S. Small Business Administration under Section 301(c) or (d) of the U.S. Small Business Investment Act of 1958.
10. The investor is a private business development company as defined in Section 202(a)(22) of the Advisers Act.
11. The investor is a broker or dealer registered pursuant to Section 15 of the Exchange Act, as amended.
12. The investor is an entity (other than a trust) in which all of the equity owners are accredited investors. Note: It is permissible to look through various forms of equity ownership to natural persons in determining the accredited investor status of entities under this paragraph (15). If those natural persons are themselves accredited investors, and if all other equity owners of the entity seeking accredited investor status are accredited investors, then this paragraph (15) may be available.

# Account Transfer (Re-Registration) Form

EFFECTIVE OCTOBER 2023

**IMPORTANT: Transferor/Seller and Transferee/Buyer Sections must be submitted simultaneously.**

To be completed by individual transferring/selling Ares Private Markets Fund ("APMF") shares. Throughout this form, references to "Prospectus" mean the prospectus in effect and as amended and supplemented through the date this form is executed.



Ares Private Markets Fund

13. The investor is an investment adviser registered pursuant to Section 203 of the Advisers Act or registered pursuant to the laws of any U.S. state.
14. The investor is an investment adviser relying on the exemption from registering with the SEC under Section 203(l) or 203(m) of the Advisers Act.
15. The investor is a Rural Business Investment Company as defined in Section 384A of the Consolidated Farm and Rural Development Act.
16. The investor is an entity that (i) was not formed for the specific purpose of acquiring the shares, (ii) owns investments in excess of \$5,000,000, and (iii) is of a type not listed in paragraphs above.
17. The investor is a "family office," as defined in Rule 202(a)(11)(G)-1 under the Advisers Act, and (i) has assets under management in excess of \$5,000,000, (ii) is not formed for the specific purpose of acquiring the shares; and (iii) its prospective investment is directed by a person who has such knowledge and experience in financial and business matters that such family office is capable of evaluating the merits and risks of the prospective investment.

## Qualified Client Status (must be completed)

The following relates to an investor's status as a "qualified client." If you are unsure in any respect as to your status, please contact your financial intermediary.

## A potential investor qualifies as a "qualified client" within the meaning of Rule 205-3 under the Advisers Act if:

The subscriber is:  (write corresponding number(s) in box provided)

1. The investor is an entity that is making an investment in the shares of at least \$1,100,000 or after the purchase of shares has at least \$1,100,000 under the management of the Investment Manager.
2. The investor is an entity that the Investment Manager reasonably believes, immediately prior to purchasing the shares, has a net worth of more than \$2,200,000.
3. The investor is a qualified purchaser as defined in Section 2(a)(51)(A) of the 1940 Act, at the time of the investment into APMF.
4. The investor is an entity which would be defined as an investment company under Section 3(a) of the 1940 Act, but solely for the exception from that definition provided by Section 3(c)(1) thereof (e.g., certain limited partnership and limited liability companies organized to make investments).
5. The investor is an investment company registered, or required to be registered, under the 1940 Act or is a business development company as defined in Section 202(a)(22) of the Advisers Act.

## 10. Acknowledgement and Signature (All account owners/trustees must sign on the following page)

**PRIOR TO INVESTING, PLEASE READ CAREFULLY THE PROSPECTUS. AN INVESTMENT IN APMF INVOLVES RISKS AND CONFLICTS OF INTEREST AS DESCRIBED IN THE PROSPECTUS. YOU MAY NOT SELL OR TRANSFER YOUR SHARES OR WITHDRAW FROM APMF EXCEPT AS PROVIDED FOR IN THE PROSPECTUS AND APMF'S ORGANIZATIONAL DOCUMENTS.**

1. I agree to become a shareholder of APMF and in connection therewith subscribe for and agree to purchase the shares on the terms provided for herein, in APMF's Prospectus, Statement of Additional Information, Agreement and Declaration of Trust and By-Laws (as each may be amended from time to time, collectively, the "Fund Agreements") and in the Privacy Policy of APMF and agree to be bound by their terms and conditions. I certify that I have the authority and legal capacity to make this purchase and that I am of legal age in my state of residence.
2. I authorize APMF and its agents to act upon instructions (by phone, in writing or other means) believed to be genuine and in accordance with the procedures described in the Prospectus for this account. I agree that neither APMF nor APMF's transfer agent will be liable for any loss, cost or expense for acting on such instructions.
3. I am aware that an investment in APMF involves substantial risks and have determined that a subscription is a suitable investment for me and that, at this time, I can bear a complete loss of my entire investment therein.
4. I understand that under the Fund Agreements, shareholders cannot withdraw from APMF and the shares cannot be transferred, except as provided in the Fund Agreements. I understand that liquidity will generally only be available through periodic tender offers by APMF, and that APMF is under no legal obligation to conduct any such tender offers. Consequently, I acknowledge that I am aware that I may have to bear the economic risk of investment in APMF indefinitely.
5. I will acquire the shares for my own account for investment purposes only, and not with a view to or for the re-sale, distribution or fractionalization thereof, in whole or in part. I agree not to offer, sell, transfer, pledge, hypothecate or otherwise dispose of, directly or indirectly, all or any number of the shares or any interest therein, except in accordance with the terms and provisions of the Fund Agreements and applicable law.
6. I certify that I am not a Foreign Financial Institution as defined in the U.S.A. Patriot Act.
7. If I am, or am acting on behalf of, (i) an "employee benefit plan" within the meaning of Section 3(3) of ERISA, (ii) a "plan" within the meaning of Section 4975(e)(1) of the U.S. Internal Revenue Code of 1986, as amended (the "Code"), or (iii) any other entity or account that is deemed under applicable law to hold the assets of such an "employee benefit plan" or a "plan" described in (i) or (ii) above, then I hereby represent and warrant to and agree with APMF and the Investment Manager that:
  - The decision to invest my assets in APMF was made by fiduciaries independent of APMF, the Investment Manager and their affiliates, which parties are duly authorized to make such investment decisions and who have concluded, after consideration of their fiduciary duties under applicable law, that the investment of my assets in APMF is prudent and made in accordance with the governing documents of the applicable employee benefit plan or plan and such documents do not prohibit the investment contemplated herein, and such parties and I have not relied on any advice or recommendation of APMF, the Investment Manager or any of their respective partners, members, employees, stockholders, officers, directors, agents, representatives or affiliates;
  - None of APMF, the Investment Manager or any of their respective partners, members, employees, stockholders, officers, directors, agents, representatives or affiliates have exercised any discretionary authority or control with respect to my investment in APMF, nor have APMF, the Investment Manager or any of their respective partners, members, employees, stockholders, officers, directors, agents, representatives or affiliates rendered investment advice to me based upon my investment policies or strategy, overall portfolio composition or diversification;
  - (a) I have been informed of and understand the investment objectives and policies of APMF; (b) I am aware of the provisions of Section 404 of ERISA or any similar provisions of applicable law governing me ("Similar Law") relating to fiduciary duties, including any applicable requirement for diversifying the investments of an employee benefit plan; (c) I have given appropriate consideration to the facts and circumstances relevant to the investment by me in APMF and have determined that such investment is reasonably designed, as part of my portfolio of investments, to further the purposes of the relevant plan(s); and (d) my investment in APMF is permissible under the documents governing the investment of my plan assets and under ERISA or Similar Law;
  - The terms of the Fund Agreements comply with my governing instruments and applicable laws governing me; and

# Account Transfer (Re-Registration) Form

EFFECTIVE OCTOBER 2023

**IMPORTANT: Transferor/Seller and Transferee/Buyer Sections must be submitted simultaneously.**

To be completed by individual transferring/selling Ares Private Markets Fund ("APMF") shares. Throughout this form, references to "Prospectus" mean the prospectus in effect and as amended and supplemented through the date this form is executed.



Ares Private Markets Fund

- My investment in APMF will not result in a non-exempt "prohibited transaction" under Section 406 of ERISA, Section 4975 of the Code or any applicable Similar Law.
8. If I am, or am acting on behalf of, a "benefit plan investor" (as defined in Section 3(42) of ERISA), then I hereby represent that the person acting on my behalf in exercising discretion in authorizing the investment in APMF and executing, or directing another party to execute, this transfer form and the person acting on my behalf in exercising discretion to authorize the continued investment in APMF (the "Fiduciary") is:
- Capable of evaluating investment risks independently, both in general and with regard to particular transactions and investment strategies (both in general and specifically to be undertaken by APMF), including the decision on my behalf to invest in APMF or to continue the investment in APMF or (if applicable) to withdraw or redeem therefrom and has made an independent determination that the terms of the Fund Agreements and the investments contemplated thereunder (including, without limitation, the payments of compensation to the Investment Manager and/or any of its affiliates) are prudent and in my best interests;
  - Aware of and acknowledges and agrees that (a) none of APMF, the Investment Manager or any of their respective affiliates is undertaking to provide impartial investment advice, or to give advice in a fiduciary capacity, in connection with my initial investment or continued investment in APMF or (if applicable) any decision to withdraw or redeem therefrom, and (b) the Investment Manager and its affiliates have a financial interest in my investment in **APMF on account of the fees and other compensation they expect to receive from APMF and their other relationships with APMF, as disclosed in the Fund Agreements**;
  - A fiduciary under ERISA or Section 4975 of the Code, or both, with respect to my investment in APMF and is responsible for exercising independent judgment in evaluating such investment transaction; and
  - Aware of and acknowledges and agrees that (a) APMF, the Investment Manager and their respective affiliates have not provided and will not provide "investment advice" (within the meaning of ERISA) to me or the Fiduciary with respect to any communications made to me or the Fiduciary concerning my initial and continued investment in APMF or (if applicable) my withdrawal or redemption therefrom, and (2) none of APMF, the Investment Manager or any of their respective affiliates is receiving a fee or other compensation directly from me or the Fiduciary for the provision of investment advice (as opposed to other services) in connection with my initial or continued investment in APMF or (if applicable) my withdrawal or redemption therefrom.
9. In connection with APMF's efforts to comply with applicable laws concerning money laundering and related activities, I represent, warrant and agree that to the best of my knowledge based upon reasonable diligence and investigation:
- I am not (nor is any person or entity controlled by, controlling or under common control with me, or any of my beneficial owners) any of the following:
    - (a) A person or entity listed in the Annex to Executive Order 13224 (2001) issued by the President of the United States, which is posted on the website of the U.S. Department of Treasury (<http://www.treas.gov>).
    - (b) Named on the List of Specially Designated Nationals and Blocked Persons maintained by the U.S. Office of Foreign Assets Control (OFAC), which is posted on the website of the U.S. Department of Treasury (<http://www.treas.gov>) under "OFAC/SDN List."
    - (c) A person or entity resident in, or whose subscription funds are transferred from or through an account in, a foreign country or territory that has been designated as a "Non-Cooperative Jurisdiction" by the Financial Action Task Force.
    - (d) A person or entity resident in, or in the case of an entity organized or chartered under the laws of, a jurisdiction that has been designated by the Secretary of the U.S. Treasury under Sections 311 or 312 of the U.S.A. Patriot Act, and the regulations promulgated thereunder as warranting special measures due to money laundering concerns. For updates, see the website of the U.S. Department of Treasury (<http://www.treas.gov>).
    - (e) A foreign shell bank (See U.S.A. Patriot Act and related regulations for definition).
    - (f) A senior foreign political figure. This restriction on senior foreign political figures also applies to any immediate family member of such Figure or close associate of such Figure (See U.S.A. Patriot Act and related regulations for definition).
  - No consideration that I have contributed or will contribute to APMF:
    - (a) Shall originate from, nor will it be routed through, a foreign shell bank or a bank organized or chartered under the laws of a Non-Cooperative Jurisdiction.
    - (b) Has been or shall be derived from, or related to, any activity that is deemed criminal under U.S. law.
    - (c) Shall cause APMF or the Investment Manager to be in violation of the U.S. Bank Secrecy Act and all other federal anti-money laundering regulations.
  - I understand and agree that if at any time it is discovered that any of the representations in this Section 9 are incorrect, or if otherwise required by applicable law related to money laundering and similar activities, the Investment Manager, in its sole discretion and notwithstanding anything to the contrary in the Fund Agreements, may undertake appropriate actions to ensure compliance with applicable law, including but not limited to freezing, segregating or redeeming my investment in APMF.
  - I further understand that APMF or the Investment Manager may release confidential information about me and, if applicable, any underlying beneficial ownership, to proper authorities if APMF or the Investment Manager, in its sole discretion, determines that it is in the best interests of APMF in light of applicable law concerning money laundering and similar activities.
  - I agree to provide to APMF any additional information that APMF deems necessary or appropriate to ensure compliance with all applicable laws concerning money laundering and similar activities. I shall promptly notify APMF if any of the representations in this Section 9 cease to be true and accurate. I agree to call APMF if I need more information about Section 9 or if I am unsure whether any of the categories apply to me.
10. I understand that APMF and its affiliates are relying on the certification and agreements made herein in determining my qualification and suitability as an investor in APMF. I understand that an investment in APMF is not appropriate for, and may not be acquired by, any person who cannot make this certification, and, to the extent permitted by applicable law, agree to indemnify APMF, the Investment Manager and its affiliates, and their respective directors, trustees, managers, members, shareholders, partners, officers, and employees and hold each of them harmless from any liability that they may incur as a result of this certification being untrue in any respect.
11. The representations, warranties, agreements, undertakings and acknowledgments made by me in this transfer form are made with the intent that they be relied upon by APMF in determining my suitability as an investor in APMF, and shall survive my investment. I agree to provide, if requested, any additional information that may reasonably be required to determine eligibility to invest in APMF or to enable APMF to determine APMF's compliance with applicable regulatory requirements or tax status. In addition, I undertake to notify APMF immediately of any change with respect to any of the information or representations made herein and to provide APMF with such further information as APMF may reasonably require.
12. I acknowledge that this transfer form shall be governed by and construed and enforced in accordance with the laws of the State of Delaware with all rights being governed by Delaware law without regard to any applicable rules relating to conflicts of laws



# Account Transfer (Re-Registration) Form

EFFECTIVE OCTOBER 2023

**IMPORTANT: Transferor/Seller and Transferee/Buyer Sections must be submitted simultaneously.**

To be completed by individual transferring/selling Ares Private Markets Fund ("APMF") shares. Throughout this form, references to "Prospectus" mean the prospectus in effect and as amended and supplemented through the date this form is executed.



Ares Private Markets Fund

## By signing below:

- I certify that I have received and read the current Prospectus, Statement of Additional Information and Privacy Policy of APMF in which I am investing and agree to be bound by its terms and conditions. I certify that I have the authority and legal capacity to make this purchase and that I am of legal age in my state of residence.
- I authorize APMF and its agents to act upon instructions (by phone, in writing or other means) believed to be genuine and in accordance with the procedures described in the Prospectus for this account. I agree that neither APMF nor APMF's transfer agent will be liable for any loss, cost or expense for acting on such instructions.
- I certify that I am not a Foreign Financial Institution as defined under the Bank Secrecy Act and its implementing regulations.

AN INVESTMENT IN APMF IS SPECULATIVE WITH A SUBSTANTIAL RISK OF LOSS. APMF DOES NOT GUARANTEE ANY LEVEL OF RETURN OR RISK ON INVESTMENTS AND THERE CAN BE NO ASSURANCE THAT APMF'S INVESTMENT OBJECTIVE WILL BE ACHIEVED. AN INVESTMENT IN APMF ENTAILS SUBSTANTIAL RISKS, INCLUDING BUT NOT LIMITED TO:

- LOSS OF CAPITAL, UP TO THE ENTIRE AMOUNT OF A SHAREHOLDER'S INVESTMENT.
- THE SHARES ARE ILLIQUID SECURITIES AND AN INVESTMENT IN APMF IS APPROPRIATE ONLY FOR THOSE INVESTORS WHO DO NOT REQUIRE A LIQUID INVESTMENT.
- SHARES WILL NOT BE LISTED ON ANY NATIONAL OR OTHER SECURITIES EXCHANGE AND NO SECONDARY MARKET IS EXPECTED TO DEVELOP FOR SHARES OF APMF.
- SHARES ARE SUBJECT TO SUBSTANTIAL RESTRICTIONS ON TRANSFERABILITY, AND LIQUIDITY, IF ANY, MAY BE PROVIDED BY APMF ONLY THROUGH REPURCHASE OFFERS, WHICH MAY, BUT ARE NOT REQUIRED TO, BE MADE FROM TIME TO TIME BY APMF AS DETERMINED BY APMF'S BOARD OF TRUSTEES IN ITS SOLE DISCRETION.
- AN INVESTMENT IN APMF IS APPROPRIATE ONLY FOR THOSE INVESTORS WHO CAN TOLERATE A HIGH DEGREE OF RISK AND DO NOT REQUIRE A LIQUID INVESTMENT AND FOR WHOM AN INVESTMENT IN APMF DOES NOT CONSTITUTE A COMPLETE INVESTMENT PROGRAM.

**YOU SHOULD CAREFULLY CONSIDER THESE RISKS TOGETHER WITH ALL OF THE OTHER INFORMATION CONTAINED IN THE PROSPECTUS BEFORE MAKING A DECISION TO INVEST IN APMF.**

## Under penalty of perjury, I certify that:

1. The Social Security Number or Taxpayer Identification Number shown on this application is correct,
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding; or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends; or (c) the IRS has notified me that I am no longer subject to backup withholding,
3. I am a U.S. citizen or other U.S. Person (including resident alien),
4. I am exempt from FATCA reporting.

Note: Cross out number 2 if you have been notified by the IRS that you are currently subject to backup withholding.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid back-up withholding.

If this is an individual retirement account, the custodian or trustee of the account is also required to execute this Application below:

\_\_\_\_\_  
Signature of Investor, Trustee or Custodian

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Co-Investor, Trustee or Custodian (If applicable)

\_\_\_\_\_  
Date

\_\_\_\_\_  
Printed name(s) of Authorized Signer(s) (for verification purposes)

(Must be signed by custodian or trustee if plan is administered by a third party)

\_\_\_\_\_  
Investor Name

Guarantor:  
Affix Medallion Signature Guarantee here.

A Medallion Signature Guarantee is required for transferor/seller/custodian signature(s). A notary public is not an acceptable guarantor.

# Account Transfer (Re-Registration) Form

EFFECTIVE OCTOBER 2023

**IMPORTANT: Transferor/Seller and Transferee/Buyer Sections must be submitted simultaneously.**

To be completed by individual transferring/selling Ares Private Markets Fund (“APMF”) shares. Throughout this form, references to “Prospectus” mean the prospectus in effect and as amended and supplemented through the date this form is executed.



Ares Private Markets Fund

## 11. Notice to Transferor/Seller and Transferee/Buyer

Please be aware that APMF, the Investment Manager, AWMS and their respective officers, directors, employees and affiliates are not undertaking to provide impartial investment advice or to give advice in a fiduciary capacity in connection with any transaction in APMF's shares.

**IMPORTANT: Transferor/Seller and Transferee/Buyer Sections must be submitted simultaneously. Please mail this completed form to:**

**Overnight Address:**

Ares Private Markets Fund  
c/o SS&C GIDS, Inc.  
430 W 7<sup>th</sup> Street, Suite 219079  
Kansas City, MO 64105

**U.S. Mailing Address:**

Ares Wealth Management Solutions  
c/o SS&C GIDS, Inc.  
P.O. Box 219079  
Kansas City, MO 64121-0979

Not a Deposit | Not FDIC Insured | Not Guaranteed by the Bank | May Lose Value | Not Insured by Any Federal Government Agency

**Ares Wealth Management Solutions Contact Information:**

**Phone:** 866.324.7348

**Website:** [areswms.com](http://areswms.com)

**Email:** [WMSoperations@aresmgmt.com](mailto:WMSoperations@aresmgmt.com)