Sentinel Investments®

Roth IRA Conversion Authorization

Helpline: If you need assistance please call Sentinel Investor Services at **800-282-FUND (3863)**. To convert a Traditional IRA account to a Sentinel Roth IRA, please complete this form and return it along with your completed IRA Account Application to the Sentinel Investments, PO Box 55929, Boston, MA 02205-5929. **Do not send to your present custodian, fiduciary, or trustee.**

1. Please Tell Us About Yourself

Name *(First, Middle Initial, Last)*	Date of Birth	Social Security Number
Street Address	City	State / Zip (+4)
E-mail	Daytime Phone	Evening Phone

2. Instructions To Sentinel Funds (Complete A or B)

Withholding

IRS regulations require the Custodian of your IRA to withhold federal income taxes from the amount converted at the rate of 10% unless you designate a different amount or elect not to withhold. Withholding applies to the entire amount of the conversion distribution, including the amount of any non-deductible contributions which may have been made to your IRA. You may still invest the entire amount of the conversion distribution into your Roth IRA by using other assets to replace the amount withheld for Federal income taxes. Remember, if you use assets of your IRA to pay taxes on the conversion amount (either by liquidating additional shares or by not replacing amounts withheld for federal income tax), the IRA assets used to pay those taxes will be considered a premature distribution (since they are not being converted into your Roth IRA or rolled over into another IRA) and may be subject to a 10% early withdrawal penalty. Ordinary income taxes apply to any amount converted to your Roth IRA.

State Tax Withholding: Depending on your state of residence, state income tax may also apply. State tax withholding will be based on your state's minimum withholding requirement.

☐ Withhold federal income tax at a rate of _____ % (10% or greater).

☐ Do not withhold federal tax from my distribution. I understand that I am still liable for the payments of federal income tax on the amount received. I also understand that I may be subject to federal income tax penalties under the estimated tax payments rules if my payments of the estimated tax and withholding are insufficient.

Note: If you do not check any of the boxes, the minimum percentage will automatically be withheld for federal and state income tax, when applicable.

Instructions

A. Convert my existing Sentinel Funds Traditional IRA to a new Sentinel Roth IRA.

☐ **Full Account Conversion:** Convert the entire balance of my Sentinel Funds IRA(s) in kind (in the form of shares of the same fund) to a Sentinel Funds Roth IRA and maintain my present fund selection allocation.

1. Fund Name | Account Number
2. Fund Name | Account Number
3. Fund Name | Account Number

☐ **Partial Account Conversion:** Convert only those accounts or that amount indicated below from my Sentinel Funds IRA(s) in kind (in the form of shares of the same fund) to a Sentinel Funds Roth IRA.

1. Fund Name | Account Number | Amount to Convert
2. Fund Name | Account Number | Amount to Convert
3. Fund Name | Account Number | Amount to Convert

Sentinel Investments is the unifying brand name for Sentinel Financial Services Company, Sentinel Asset Management, Inc., and Sentinel Administrative Services, Inc. Sentinel Funds are distributed by Sentinel Financial Services Company.
PO Box 55929, Boston, MA 02205-5929, Tel: 800 282-FUND (3863)

B. Convert my IRA from another financial institution to a Sentinel Funds Roth IRA.

To avoid delays check with your current financial institution for the correct address and whether they need a signature guarantee. Attach a copy of a current statement if possible.

Name of Current Financial Institution or Agent

Address of Current Financial Institution or Agent

City State Zip(+4)

Telephone Number of Current Financial Institution or Agent

Please convert and transfer the following investment to Sentinel Administrative Services, Inc. for my Sentinel Funds Roth IRA.

Fund Name or Type of Investment to be Converted

Account Number

☐ Entire Account or ☐ Partial $ _____

Fund Name or Type of Investment to be Converted

Account Number

☐ Entire Account or ☐ Partial $ _____

3. Certification and Signature

I authorize the Custodian or Trustee of my IRA to liquidate the account(s) indicated for the purpose of converting them to a Roth IRA with Sentinel Funds. I authorize my current Custodian or Trustee to issue a check payable to Sentinel Funds for the benefit of my Roth IRA at Sentinel Funds. I understand it is my responsibility to assure the prompt conversion of assets by the current Custodian or Trustee.

I authorize Sentinel Funds to process this request of my behalf.

I agree that I am solely responsible for all tax consequences of this conversion contribution. I also agree that neither Sentinel Funds nor the Roth IRA Custodian shall have responsibility for any tax consequences.

I have read and understand and agree to be legally bound by the terms of this form. I also understand that Sentinel Funds and State Street Bank & Trust Co. (SSBT), the Custodian of the Roth IRA, and their agents will rely on this form when accepting my conversion contribution. I understand this conversion is irrevocable and may not be reversed in the future.

Signature of Individual Date

Medallion Signature Guarantee

4. Instructions to Resigning Custodian/Trustee

Please liquidate the Depositor's account(s), as specified in Section 2. Issue a check payable to Sentinel Administrative Services, Inc., FBO (Investors Name) IRA and mail along with any other instructions to:

First-Class Mail:
Sentinel Investments
PO Box 55929
Boston, MA 02205-5929

Overnight Mail:
Sentinel Investments
c/o Boston Financial Data Services
30 Dan Road
Canton, MA 02021-2809

5. Acceptance of Appointment (For SASI Use Only)

Sentinel Administrative Services, Inc. as agent for State Street Bank & Trust Co. (SSBT), hereby accepts transfer of the assets from the above plan and accepts appointment as custodian of such plan.

Signature *(Sentinel Administrative Services, Inc., Agent for SSBT)* Date